OVERSTRAND MUNICIPALITY



Performance Agreement for the financial year 1 July 2023 – 30 June 2024

DIRECTOR: INFRASTRUCTURE & PLANNING

Performance agreement made and entered into by and between

The Overstrand Municipality and represented by Dean O'Neill, the Municipal Manager (herein and after referred as Employer)

and

Stephen Muller, the Director: Infrastructure & Planning (herein and after referred as Employee) for the period 1 July 2023 to 30 June 2024.

Where as

- a. The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred as "the Parties";
- Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual performance agreement;
- c. The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will promote local government goals; and
- d. The Parties wish to ensure that there is compliance with Sections 57(4B) and 57(5) of the Systems Act.

1. INTERPRETATION

- 1.1 In this Agreement the followings terms will have the meaning ascribed thereto:
 - 1.1.1 "this Agreement" means the performance agreement between the Employer and the employee and the Annexures thereto;
 - 1.1.2 "the Executive Authority" means the Mayoral Committee of the Municipality constituted in terms of Section 55 of the Local Government: Municipal Structures Act 117 of 1998 ("the Structures Act") as represented by its chairperson, the Executive Mayor;
 - 1.1.3 "the Employee" means the Director appointed in terms of Section 82 of the Structures Act;
 - 1.1.4 "the Employer" means Overstrand Municipality; and
 - 1.1.5 "the Parties" means the Employer and Employee.

2. PURPOSE OF THIS AGREEMENT

- 2.1 To comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act as well as the Contract of Employment entered into between the Parties;
- 2.2 To specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance targets and accountabilities;
- 2.3 To specify accountabilities as set out in the Performance Plan (Annexure A);
- 2.4 To monitor and measure performance against set targeted outputs and outcomes;
- 2.5 To establish a transparent and accountable working relationship;
- 2.6 To appropriately reward the employee in accordance with section 11 of this agreement; and
- 2.7 To give effect to the Employer's commitment to a performanceorientated relationship with the Employee in attaining improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 01 July 2023 and will remain in force until 30 June 2024 where-after a new Performance Agreement shall be concluded between the parties for the next financial year or any portion thereof;
- 3.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31st of July of the succeeding financial year;
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason;
- 3.4 If at any time during the validity of the agreement the work environment alters to the extent that the contents of the agreement are no longer appropriate, the contents must by mutual agreement between the parties, immediately be revised; and
- 3.5 Any significant amendments or deviations must take cognizance of the requirements of sections 34 and 42 of the Municipal Systems Act and Regulation 4(5) of the Regulations.

4. PERFORMANCE OBJECTIVES

4.1 The Performance Plan (Annexure A) sets out –

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- 4.1.1 The performance objectives and targets that must be met by the Employee;
- 4.1.2 The timeframes within which those performance objectives and targets must be met; and
- 4.1.3 The competencies (Annexure B definitions in terms of Regulation 21 of 17 January 2014) required to operate effectively as senior managers in the local government environment.
- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:
 - 4.2.1 Key objectives that describe the main tasks that need to be done:
 - 4.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved:
 - 4.2.3 Target dates that describe the timeframe in which the targets must be achieved: and
 - 4.2.4 Weightings showing the relative importance of the key objectives to each other.
- 4.3 The Personal Development Plan (Annexure C) sets out the Employee's personal development requirements in line with the objectives and targets of the Employer; and
- 4.4 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

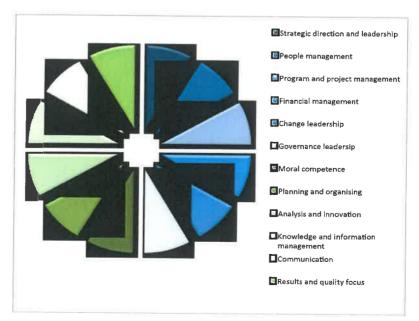
- 5.1 The Employee agrees to participate in the performance management system that the Employer adopted for the employees of the Employer;
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employees and service providers to perform to the standards required:
- 5.3 The Employer must consult the Employee about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;

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- 5.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, Operational Performance and Competencies both of which shall be contained in the Performance Agreement;
- The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee:



5.7 The Competencies will make up the other 20% of the Employee's assessment score. The Competencies are spilt into two groups, leading competencies (indicated in blue on the graph below) that drive strategic intent and direction and core competencies (indicated in green on the graph below), which drive the execution of the leading competencies.



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6. PERFORMANCE ASSESSMENT

- 6.1 The Performance Plan (Annexure A) to this Agreement sets out
 - 6.1.1 The standards and procedures for evaluating the Employee's performance; and
 - 6.1.2 The intervals for the evaluation of the Employee's performance.
- 6.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 6.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;
- 6.4 The Employee's performance will be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP) as described in 6.6 6.13 below:
- 6.5 The Employee will submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at the fourth evaluation at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 6.6 Assessment of the achievement of results as outlined in the performance plan:
 - 6.6.1 Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met and with due regard to ad-hoc tasks that had to be performed under the KPI;
 - 6.6.2 A rating on the five-point scale described in 6.9 below shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
 - 6.6.3 The Employee will submit his self-evaluation to the Employer prior to the formal assessment;
 - 6.6.4 In the instance where the employee could not perform due to reasons outside the control of the employer and employee, the KPI will not be considered during the evaluation. The employee should provide sufficient evidence in such instances; and
 - 6.6.5 An overall score will be calculated based on the total of the individual scores calculated above.

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6.7 Assessment of the Competencies:

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- 6.7.1 Each Competency will be assessed in terms of the descriptions provided (Annexure B) on a 360-degree basis during the mid-year and year-end reviews and will inform the final score awarded by the evaluation committee. 360 degree means that the employee's peers and managers reporting to him will assess his/her Competencies;
- 6.7.2 A rating on the five-point scale described in 6.10 below shall be provided for each Competency which will then be multiplied by the weighting to calculate the final score; and
- 6.7.3 An overall score will be calculated based on the total of the individual scores calculated above.

6.8 Overall rating

- 6.8.1 An overall rating is calculated by adding the overall scores as calculated in 6.6.5 and 6.7.3 above; and
- 6.8.2 Such overall rating represents the outcome of the performance appraisal.
- 6.9 The assessment of the performance of the Employee will be based on the following rating scale for KPIs:

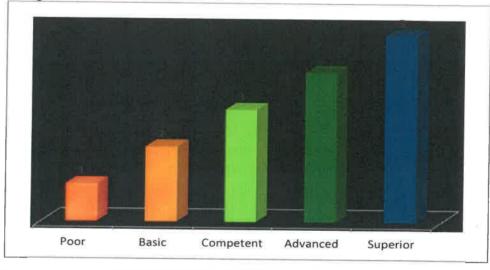


Terminology	Description
Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and



Terminology	Description
	fully achieved all others throughout the year.
Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

6.10 The assessment of the competencies will be based on the following rating scale:



Achievement Level	Description
Poor	Do not apply the basic concepts and methods to proof a basic understanding of local government operations and requires extensive supervision and development interventions.
Basic	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention.
Competent	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analysis.
Advanced	Develops and applies complex concepts, methods and understanding. Effectively directs and leads a group and executes in-depth analysis.
Superior	Has a comprehensive understanding of local government operations, critical in strategic shaping strategic direction and

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Achievement Level	Description
	change, develops and applies comprehensive concepts and methods.

- 6.11 For purposes of evaluating the performance of the Employee for the mid-year and year-end reviews, an evaluation panel constituted of the following persons will be established
 - 6.11.1 Municipal Manager;
 - 6.11.2 Municipal Manager from another municipality;
 - 6.11.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee; and
 - 6.11.4 The Member of the Mayoral Committee (Portfolio Chairperson).
- 6.12 The Municipal Manager will evaluate the performance of the Employee as at the end of the 1st and 3rd quarters; and
- 6.13 The Municipal Manager will give performance feedback to the Employee within five (5) working days after each quarterly and annual assessment meetings.

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his performance agreement shall be reviewed on the following dates with the understanding that the reviews in the first and third quarter may be verbal if performance is satisfactory:

Quarter	Review Period	Review to be completed by
1	July - September	October 2023 (informal)
2	October - December	February 2024
3	January – March	April 2024 (Informal)
4	April - June	September 2024

- 7.2 The Employer shall keep a record of the mid-year and year-end assessment meetings;
- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance;
- 7.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure A from time to time for operational reasons. The Employee will be fully consulted before any such change is made; and
- 7.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or

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amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

DEVELOPMENTAL REQUIREMENTS 8.

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure C. Such Plan may be implemented and/or amended as the case may be after each assessment. In that case, the Employee will be fully consulted before any such change or plan is made.

9. **OBLIGATIONS OF THE EMPLOYER**

- 9.1 The Employer shall-
 - 9.1.1 Create an enabling environment to facilitate effective performance by the employee;
 - 9.1.2 Provide access to skills development and capacity building opportunities;
 - 9.1.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
 - 9.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
 - Make available to the Employee such resources as the 9.1.5 Employee may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- The Employer agrees to consult the Employee timeously where the 10.1 exercising of its powers will have amongst others-
 - 10.1.1 A direct effect on the performance of any of the Employee's functions:
 - 10.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and
 - 10.1.3 A substantial financial effect on the Employer.
- The Employer agrees to inform the Employee of the outcome of any 10.2 decisions taken pursuant to the exercise of powers contemplated in clause 12.1 as soon as is practicable to enable the Employee to take any necessary action with delay.

11. **REWARD**

- The evaluation of the Employee's performance will form the basis for 11.1 acknowledging outstanding performance or correcting unacceptable performance;
- It is recorded that by mutual agreement the Parties have decided that 11.2 no performance bonus will be paid to the Employee as the Employee's total cost of employment package is deemed to be adequate.

12. **MANAGEMENT OF EVALUATION OUTCOMES**

- Where the Employer is, any time during the Employee's employment, 12.1 not satisfied with the Employee's performance with respect to any matter dealt with in this Agreement, the Employer will give notice to the Employee to attend a meeting;
- 12.2 The Employee will have the opportunity at the meeting to satisfy the Employer of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures;
- Where there is a dispute or difference as to the performance of the 12.3 Employee under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and
- 12.4 in the case of unacceptable performance, the Employer shall -
 - 12.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and
 - 12.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

13. **DISPUTE RESOLUTION**

- In the event that the Employee is dissatisfied with any decision or 13.1 action of the Employer in terms of this Agreement, or where a dispute or difference arises as to the extent to which the Employee has achieved the performance objectives and targets established in terms of this Agreement, the Employee may within 3 (three) business days, meet with the Employer with a view to resolving the issue. The Employer will record the outcome of the meeting in writing;
- If the Parties cannot resolve the issues within 10 (ten) business days, 13.2 an independent arbitrator, acceptable to both parties, shall be appointed to resolve the matter within 30 (thirty) business days;

- 13.3 In the instance where the matters referred to in 13.2 were not successfully resolved, the matter shall be referred to the Executive Mayor to mediate the issues within 30 (thirty) business days of receipt of a formal dispute from the Employee.
- 13.4 The decision of the Executive Mayor shall be final and binding on both parties; and
- 13.5 In the event that the mediation process contemplated above fails, the relevant clause of the Contract of Employment shall apply.

14. GENERAL

- 14.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer; and
- 14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

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Thus, done and signed at _____ I + emans___ on the ___ l 2__ day June of 2023. **AS WITNESSES:** 1. LIRAY SWARTS
2. Mautenbaon

Performance Plan

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Annexure A

Director: IP 2023/24

Director: Infrastructure & Planning

The Performance Plan sets out:

- a) Key Performance Areas that the employee should focus on, performance objectives, key performance indicators and targets that must be met within a specific timeframe; and
- The Competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. **Q**

KEY PERFORMANCE INDICATORS

The key performance areas, the performance objectives, key performance indicators and targets that must be met within the agreed timeframe are described below. The assessment of these performance indicators will account for eighty percent of the total employee assessment score.

32.50				1	т		
	Weight	N	7	N	7	7	N
	97	%06	%06	%06	%06	, %06	%06
Targets	03	%06	%06	%06	%06	%06	%06
ĭ	05	%06	%06	%06	%06	%06	%06
	Q	%06	%06	%06	%06	%06	%06
Portfolio of evidence	and a local part of the local	Updated SDBIP and report	Updated SDBIP and report	Updated SDBIP and report	Updated SDBIP and report	Updated SDBIP and report	Updated SDBIP and report
Baseline		100%	%08	50%	71.43%	75%	75%
Unit of Measurement		90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90% of the KPI's of the sub directorate have been met as per Ignite
Key Performance Indicator	(KPJ)	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Building services	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Electricity services and street lighting	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Engineering services	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Engineering planning	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Property administration	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate:
National KPA		Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery
Ref No		SDBIP Graphs	SDBIP Graphs	SDBIP Graphs	SDBIP Graphs	SDBIP Graphs	SDBIP Graphs

New Action	Weight		7	4	4	4	4	~
	8		%06	75%	95%	7.5%	1	22 500
Targets	803		%06	75%	%56	1	1	•
Ta	02		%06	75%	%96	ı	-	ı
	6		%06	75%	95%	1	ŧ	-
Dortfolio of oxidones	Fortions of evidence		Updated SDBIP and report	Report from Directorate Infrastructure (WSA) compiled from independent Laboratory test results	Independent Laboratory test results	Electricity losses Excel spreadsheet from Manager: Costing and Reports in Finance Directorate	Letter of submission of Water Services Audit to DWS	Based on number of households billed by department of finance
Bacalina	Daseille		%08	80.08%	97.83%%	7.20%	-	22 475
Unit of Mascurement	Our of measurement	Dashboard report	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	% compliance	% compliance with SANS 241	% of electricity unaccounted for	Report submitted	Number of formal households that meet agreed service standards
Key Performance Indicator	(KPI)	Town planning and spatial development	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Environmental Services	Quality of effluent comply 75% with general or special limit in terms of the Water Act (Act 36 of 1998)	Quality of potable water comply 95% with SANS 241	Limit electricity losses to 7.5% or less {(Number of Electricity Units Purchased - Number of Electricity Units Sold) / Number of Electricity Units Purchased and/or Generated) × 100}	Report on the implementation of the Water Service Development plan annually by the end of October	Provision of Electricity: Number of metered electrical connections in formal areas (Eskom Areas excluded) (Definition: Refers to residential households (RE)
National KPA			Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery	Basic Service Delivery
Ref No			SDBIP	TL 3	TL 4	TL 19	TL 20	TL 32

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W	2					1	
Weight	III A LANGE		4	M	\sim	W	~
	Q4		100	0	7-	0	0
Targets	03		62.4	~	-	0	100%
Ta	07		40	0	_	0	0
	6		3	0	-	100%	0
Portfolio of evidence	acijania oli olionia		Monthly MIG report	SCM records – Draft DMP	Proof of the submissions to the MM	IPMS System report	IPMS System report
Racolina	Casellie		100%	~	New kpi	New kpi	New kpi
Unit of Mescurement	out of measurement		% expenditure of allocated MIG funds	Draft planning schedules for procuring timeframes for the financial year submitted by end February 2024	Number of initiatives initiater	% of staff agreements approved	% of mid-year reviews conducted
Key Performance Indicator	(KPI)	and pensioners (PR) as per Finance departments billed households) (MPPMR Reg. 10 (a))	100% of the Municipal Infrastructure Grant (MIG) spent by 30 June 2024 (Actual MIG expenditure/ Allocation received)	Apply proper procurement practices with the adherence to the approved SCM policy to promote good governance and to be effective in delivering services	Director: Infrastructure & Planning initiate at least 1 initiative per quarter towards realisation of the Mayors 3 C's (Communication, Crime Prevention & Law Enforcement and Cost and ease of doing business)	Director: Infrastructure and Planning compile and approve all direct staff's performance agreements by 30 July 2023 (2023/24 financial year)	Director: Infrastructure and Planning conduct mid-year reviews of all direct staff by 30 January 2024 (current financial year)
National KPA	C IVI IN IVI		Basic Service Delivery	Municipal Financial Viability and Management	Basic Service Delivery	Municipal Transformation and Institutional Development	Municipal Transformation and Institutional Development
Paf No			TL 37	Dept. SDBIP	Dept. SDBIP	Dept. SDBIP	Dept. SDBIP

Annexure A

100	Weignt	W	t	4	~	M
	94	0	0	85%	95%	_
Targets	03	0	0	85%	95%	-
Tia	02	0	0	85%	95%	-
	Q1	100%	_	85%	%56	-
Dortfolio of avidance	anianio oi exiderice	IPMS System report	Copy of Annual Report inputs submitted	Feedback submitted to Manager: Internal Audit	Template- Council resolution POE sheet	Quarterly Risk Action Report/Risk Management Report from the Chief Risk Officer (CRO)
Rasalina		New kpi	-	87.50%	%96	4
Unit of Measurement		% of annual reviews conducted	Report submitted by July	% of queries rectified	% of Council resolutions implemented	Number of risk action/risk management reports submitted
Key Performance Indicator	(KPI)	Director: Infrastructure and Planning conduct annual reviews of all direct staff by 30 August 2023 (for previous financial year – 2022/23)	Departmental Annual Report prepared and submitted by the end of July 2023	Implement internal audit queries, where applicable, within the agreed upon timeframe (Actual queries implemented divided by queries received)	Implement Council resolutions within the required Council item target date (Actual resolutions implemented divided by resolutions assigned to the directorate)	Quarterly review the report submitted by the Chief Risk Officer (CRO) on the corrective measures implemented to reduce risk areas
National KPA		Municipal Transformation and Institutional Development	Good Governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation	Good Governance and Public Participation
Ref No		Dept. SDBIP	Dept. SDBIP	Dept. SDBIP	Dept. SDBIP	Dept. SDBIP

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Weight	M	4	t	~	80
5	-	95%	%26	%06	
Targets	-	55%	%09	%06	
T ₂	-	20%	40%	%06	
č	-	5%	50%	%06	
Portfolio of evidence	Copies of reports verified	Expenditure report from SAMRAS	Expenditure report from SAMRAS	Collaborator report	
Baseline	4	84.05%	101.16%	94:90%	
Unit of Measurement	Number of reports verified	% of the capital budget spent	% of the operational budget spent	% responded to within 14 days	
Key Performance Indicator (KPI)	Verify correctness of the quarterly report on the performance of all contracts for service providers in terms of the Municipal Systems Act and submit to SCM	95% of the total approved capital budget of the Directorate Infrastructure and Planning spent (Actual expenditure divided by the total approved capital budget)	95% of the total approved operational budget of the Directorate Infrastructure and Planning spent (Actual expenditure divided by the total approved operational budget)	Respond to 90% of all standard (non statutory) queries/complaints/requests and memorandums within 14 days from when the request is received via the Collaborator system (Generate collaborator report - POE- not on calendar month, but from 15th of previous month to 14th of the current reporting period)	
National KPA	Municipal Financial Viability and Management	Basic Service Delivery	Basic Service Delivery	Good Governance and Public Participation	
Ref No	Dept. SDBIP	Dept. SDBIP	Dept. SDBIP	Dept SDBIP	

COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure B describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition	Weight
	LEADING COMPETENCIES	,
	Provide and direct a vision for the institution and inspire and deploy others to deliver on the strategic institutional mandate. It includes:	
Strategic direction and	Impact and influence	
leadership	Institutional performance management	1.67
	Strategic planning and management	
	Organisational awareness	
	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes:	
	Human capital planning and development	
People management	Diversity management	1.67
	Employee relations management	
	Negotiation and dispute management	
	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives. It includes:	
Programme and project	Program and project planning and implementation	167
	Service delivery management	<u></u>
	Program and project monitoring and evaluation	
Financial management	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes:	1.67
	Budget planning and execution	

Competency	Definition	Weight
	 Financial strategy and delivery Financial reporting and delivery 	
Change leadership	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community. It includes: • Change vision and strategy • Process design and improvement • Change impact monitoring and evaluation	1.67
Governance leadership	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships. It includes: • Policy formulation • Risk and compliance management • Cooperative governance	1.67
	CORE COMPETENCIES	
Moral competence	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delievry and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1.67
Knowledge and information management	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome.	1.67
Results and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measur results and quality against identified objectives.	1.67
	TOTAL	20



Competency Framework



Cluster	Cluster Leading Competencies							
Competency N								
		Effectively manage, inspire and encourage people, respect dive						
Competency Def	inition	optimise talent and build and nurture relationships in order to achieve						
		institutional objectiv	es	po in order to acrileve				
BASIC		COMPETENT	ADVANCED	SUPERIOR				
team goal- setting and problem solving Interact and collaborate with people of	response	ease team ribution and consibility coect and support diverse nature of rs and be aware of cenefits of a diverse	and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and	incorporate best practice people management processes, approaches and tools across the institution				
with people of diverse backgrounds • Aware of guidelines for employee development, but requires support in implementing development initiatives	appro- Effect tasks other contr exec optin Apply empl fairly Facili	cach ctively delegate s and empower rs to increase ribution and ute functions nally relevant oyee legislation and consistently itate team goal- ing and problem- ing tively identify city requirements ulfill the strategic	Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives	institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management				



Cluster	Leading Competencie	S				
Competency Name	Program and Project Management					
	Able to understand program and project management methodology;					
Competency Definition	plan, manage, monito	or and evaluate specific	activities in order to			
1	deliver on set objective	es				
	ACHIEVEMEN	NT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR			
Initiate projects	 Establish broad 	 Manage multiple 	 Understand and 			
after approval from	stakeholder	programs and	conceptualise			
higher authorities	involvement and	balance priorities	the long-term			
Understand	communicate the	and conflicts	implications of			
procedures of	project status and	according to	desired project			
program and	key milestones	institutional goals	outcomes			
project	 Define the roles 	 Apply effective risk 	 Direct a 			
management	and responsibilities	management	comprehensive			
methodology,	of the project team	strategies through	strategic macro			
implications and	and create clarity	impact assessment	and micro			
stakeholder	around	and resource	analysis and			
involvement	expectations	requirements	scope projects			
Understand the	Find a balance	Modify project scope	accordingly to			
rational of projects in relation to the	between project deadline and the	and budget when	realise			
institution's		required without	institutional objectives			
strategic objectives	quality of deliverables	compromising the quality and				
Document and	 Identify appropriate 	objectives of the	 Consider and initiate projects 			
communicate	project resources to	project	that focus on			
factors and risk	facilitate the	Involve top-level	achievement of			
associated with	effective	authorities and	the long-term			
own work	completion of the	relevant	objectives			
Use results and	deliverables	stakeholders in	Influence people			
	 Comply with 	seeking project buy-	in positions of			
successful project	statutory	in	authority to			
implementation as	requirements and	Identify and apply	implement			
guide	apply policies in a	contemporary	outcomes of			
	consistent manner	project management	projects			
	 Monitor progress 	methodology	 Lead and direct 			
	and use of	 Influence and 	translation of			
	resources and	motivate project	policy into			
	make needed	team to deliver	workable			
	adjustments to	exceptional results	actions plans			
	timelines, steps,	Monitor policy	 Ensures that 			
	and resource	implementation and	programs are			
	allocation	apply procedures to	monitored to			
		manage risks	track progress			
]			and optimal resource			
[utilisation, and			
			that adjustments			
			are made as			
			needed			

Cluster	Leading Competenci	es			
Competency Name	Financial Management				
Competency Definition	institute financial ris processes in accorda to ensure that all fin manner	an and manage budge sk management and ac ance with recognised final nancial transactions are r	dminister procurement ncial practices. Further		
	ACHIEVEME				
BASIC	COMPETENT	ADVANCED	SUPERIOR		
 Understand basic financial concepts and methods as they relate to institutional processes and activities Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems Understand the importance of financial accountability Understand the importance of asset control 	 Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate Assess, identify and manage financial risks Assume a costsaving approach to financial management Prepare financial reports based on specified formats Consider and understand the financial implications of decisions and suggestions Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	 Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility Prepare budgets that are aligned to the strategic objectives of the institution Address complex budgeting and financial management concerns Put systems and processes in place to enhance the quality and integrity of financial management practices Advise on policies and procedures regarding asset control Promote National Treasury's regulatory framework for Financial Management Management 	Develop planning tools to assist in evaluating and monitoring future expenditure trends Set budget frameworks for the institution Set strategic direction for the institution on expenditure and other financial processes Build and nurture partnerships to improve financial management and achieve financial savings Actively identify and implement new methods to improve asset control Display professionalism in dealing with financial data and processes		

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	Cluster	Ι	Leading Competencies		= 1		
	Competency Name		Change Leadership				
			Able to direct and initia				
'	Competency Definition		order to successfully	dri ' =-	ve and implement no	W	initiatives and
\vdash		- 1 '	deliver professional and ACHIEVEMENT	qu	Jailty services to the co	mm	nunity
	BASIC	Т	COMPETENT		ADVANCED	1	SUPERIOR
•	Display an	•	Perform an analysis	•	Actively monitor		Sponsor
	awareness of change	ľ	of the change impact	ľ	change impact and	-	change
	interventions, and		on the social,		results and convey		agents and
	the benefits of		political and		progress to relevant		create a
	transformation		economic		stakeholders		network of
	initiatives		environment	•	Secure buy-in and		change
•	Able to identify basic needs for change		Maintain calm and		sponsorship for	ļ	leaders who
	Identify gaps		focus during change Able to assist team		change initiatives Continuously		support the interventions
ľ	between the current	Ť	members during		evaluate change		Actively
	and desired state		change and keep		strategy and design		adapt current
•	Identify potential risk		them focused on the	1	and introduce new		structures
	and challenges to		deliverables		approaches to		and
	transformation,	•	Volunteer to lead		enhance the		processes to
	including resistance to change factors		change efforts outside of own work		institution's effectiveness		incorporate the change
	Participate in change		team		Build and nurture		interventions
-	programs and		Able to gain buy-in	-	relationships with		Mentor and
	piloting change		and approval for		various stakeholders		guide team
	interventions		change from		to establish strategic		members on
•	Understand the		relevant		alliance in facilitating		the effects of
	impact of change interventions on the	725	stakeholders		change		change,
	institution within the	•	Identify change readiness levels and	•	Take the lead in impactful change		resistance factors and
	broader scope of		assist in resolving		programs		how to
	Llocal Ggovernment.		resistance to change		Benchmark change		integrate
	_		factors		interventions against		change
		•	Design change		best change	٠	Motivate and
			interventions that		practices		inspire others around
1			are aligned with the institution's strategic	•	Understand the impact and		change
			objectives and goals		psychology of		initiatives
			Tajaan ana goolo		change, and put		
					remedial		
					interventions in		
					place to facilitate		
					effective transformation		1
					Take calculated risk		
					and seek new ideas		İ
	ĺ				from best practice		İ
					scenarios, and		
					identify the potential		
					for implementation		
		_		-			



Cluster	Leading Compet					
Competency Nam		Governance Leadership				
Competency Definit	and compliance of governance p conceptualisation governance relations	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships				
		MENT LEVELS				
BASIC Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders Provide input into policy formulation	COMPETENT Display a thorough understanding of governance and risk and compliance factors and implement plans to address these Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution Actively drive policy formulation within the institution to ensure the achievement of objectives	ADVANCED Able to link risk initiatives into key institutional objectives and drivers Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives Demonstrate a thorough understanding of risk retention plans Identify and implement comprehensive risk management systems and processes Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement	SUPERIOR Demonstrate a high level of commitment in complying with governance requirements Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework Able to advise Local Government on risk management strategies, best practice interventions and compliance management Able to forge positive relationships on cooperative governance level to enhance the effectiveness of Llocal government Able to shape, direct and drive the formulation of policies on a macro level			



Cluster	Core Competencies						
Competency Name							
Competency Definition	Competency Definition Able to identify moral triggers, apply reasoning that properties and integrity and consistently display behaviour that competence						
		ACHIEVEMENT LEVELS					
BASIC	COMPETENT	ADVANCED	SUPERIOR				
 Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent 	 Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government 	 Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders Present values, beliefs and ideas that are congruent with the institution's rules and regulations Takes an active stance against corruption and dishonesty when noted Actively promote the value of the institution to internal and external stakeholders Able to work in unity with a team and not seek personal gain Apply universal moral principles consistently to achieve moral decisions 	Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable				



	Cluster	Core Competencies								
L	Competency Name	Planning and Organising								
	Competency	Able to plan, prioritise and organise information and resources effect								
	Definition	to ensure the quality of service delivery and build efficient contingency								
	Deminatori	plans to manage risk	plans to manage risk							
			MEN	IT LEVELS						
L	BASIC	COMPETENT		ADVANCED		SUPERIOR				
•	Able to follow basic plans and	Actively and appropriately	•	Able to define	•	Focus on broad				
•	basic plans and organise tasks around set objectives Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans Able to follow existing plans and ensure that objectives are met Focus on short-term objectives	appropriately organise information and resources required for a task • Recognise the urgency and importance of tasks • Balance short and long-term plans and goals and incorporate into the team's performance objectives • Schedule tasks to ensure they are performed within budget and with efficient use of	•	institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation Identify in advance required stages and actions to complete tasks and projects Schedule realistic timelines, objectives and milestones for tasks and projects Produce clear, detailed and comprehensive plans to achieve		strategies and initiatives when developing plans and actions Able to project and forecast short, medium and long term requirements of the institution and local government Translate policy into relevant projects to facilitate the achievement of institutional objectives				
•	in developing plans and actions Arrange	time and resources • Measures progress and	•	institutional objectives Identify possible risk factors and design						
	information and resources required for a task, but require further structure and organisation	monitor performance results	•	and implement appropriate contingency plans Adapt plans in light of changing circumstances Prioritise tasks and projects according to their relevant urgency and importance						



Cluster Core Competencies							
Competency Nan	Knowledge and Information Management						
Competency Defini	tion	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government ACHIEVEMENT LEVELS					
BASIC		COMPETENT					
Collect, categorise and track relevant information required for specific tasks and projects Analyse and interpret information to draw conclusions Seek new sources of information to increase the knowledge base Regularly share information and knowledge with internal stakeholders and team members		Use appropriate information systems and technology to manage institutional knowledge and information sharing Evaluate data from various sources and use information effectively to influence decisions and provide solutions Actively create mechanisms and structures for sharing of information Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency	•	Effectively predict future information and knowledge management requirements and systems Develop standards and processes to meet future knowledge management needs Share and promote best-practice knowledge management across various institutions Establish accurate measures and monitoring systems for knowledge and information management Create a culture conducive of learning and knowledge sharing Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches	•	Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information Establish partnerships across local government to facilitate knowledge management Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach Recognise and exploit knowledge points in interactions with internal and external stakeholders	



Cluster	Core Competenci	es	·				
Competency Name	Communication						
	Communication Able to share in focused and con order to effectivel to achieve the design of the communication.	nformation, knowledge an icise manner appropriate y convey, persuade and in	for the audience in				
appropriate for the audience, but requires guidance in utilising such tools Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration Disseminate and convey information and knowledge adequately	settings in an manner that is interesting and motivating Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs Adapt communication content and style to suit the audience and facilitate optimal information transfer Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders Compile clear, focused, concise and well-structured written documents	Develop a well-defined communication strategy Balance political perspectives with institutional needs when communicating viewpoints on complex issues Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution Able to communicate with high levels of moral competence and discipline	institution Able to inspire and motivate others through positive communication that is impactful and relevant Creates an environment conducive to transparent and productive communication and critical and appreciative conversations Able to coordinate negotiations at different levels within local government and externally				

Cluster	Core Competent	cies	· · · · · · · · · · · · · · · · · · ·	
Competency Nan		Results and Quality Focus		
Competency Defini	tion results and obj expectations and Further, to active against identified	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives ACHIEVEMENT LEVELS		
BASIC COMPETENT ADVANCED SUPERIOR				
Understand quality of work but requires guidance in attending to important matters Show a basic commitment to achieving the correct results Produce the minimum level of results required in the role Produce outcomes that is of a good standard Focus on the quantity of output but requires development in incorporating the quality of work Produce quality work in general circumstances, but fails to meet expectation when under pressure	COMPETENT Focus on high-priority actions and does not become distracted by lower-priority activities Display firm commitment and pride in achieving the correct results Set quality standards and design processes and tasks around achieving set standards Produce output of high quality Able to balance the quantity and quality of results in order to achieve objectives Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as needed	Consistently verify own standards and outcomes to ensure quality output Focus on the end result and avoids being distracted Demonstrate a determined and committed approach to achieving results and quality standards Follow task and projects through to completion Set challenging goals and objectives to self and team and display commitment to achieving expectations Maintain a focus on quality outputs when placed under pressure Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution	Coach and guide others to exceed quality standards and results Develop challenging, client-focused goals and sets high standards for personal performance Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required Work with team to set ambitious and challenging team goals, communicating long- and short-term expectations Take appropriate risks to accomplish goals Overcome setbacks and adjust action plans to realise goals Focus people on critical activities that yield a high impact	

b/t

2023/24

Personal Development Plan

Director: Infrastructure & Planning

Support Person			
Work opportunity created to practice skill/development area			
Suggested Time Frames			
Suggested mode of delivery			
Suggested training and /or development activity	1 Attendi 3 days training per year.		
Outcomes Expected	Retain Professional Attendo Engineer status 3 days to		
Skills Performance Gap	<u>.</u>	2	က

Signed and accepted by the Embloyee

(2.6.23

Date:__

Sh. A. O.

Signed by the Municipal Manager on behalf of the Municipality

Dan Gran

Date: 15/06/2023

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